

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. MAT-8473US

First Inventor Shigeyoshi Umezawa

Title ILLUMINATION KNOB AND METHOD OF MANUFACTURING KNOB

Express Mail Label No. EV 351885825 US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

- Fee Transmittal Form (e.g., PTO/SB/17)  
*(Submit an original and a duplicate for fee processing)*
- Applicant claims small entity status.  
See 37 CFR 1.27.
- Specification [Total Pages 9]  
*(preferred arrangement set forth below)*
  - Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (*if filed*)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
- Drawing(s) (35 U.S.C. 113) [Total Sheets 4]
- Oath or Declaration (Unexecuted) [Total Pages 2]
  - Newly executed (original or copy)
  - Copy from a prior application (37 CFR 1.63 (d))  
*(for a continuation/divisional with Box 18 completed)*
    - i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- Application Data Sheet. See 37 CFR 1.76

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

- CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission (*if applicable, all necessary*)
  - a.  Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  paper
  - c.  Statements verifying identity of above copies

**ACCOMPANYING APPLICATIONS PARTS**

- Assignment Papers (cover sheet & document(s))
- 37 C.F.R. §3.73(b) Statement  Power of Attorney (*when there is an assignee*)
- English Translation Document (*if applicable*)
- Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
- Preliminary Amendment
- Return Receipt Postcard (MPEP 503) (*Should be specifically itemized*)
- Certified Copy of Priority Document(s) (*if foreign priority is claimed*)
- Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
- Other: Express Mail Certificate

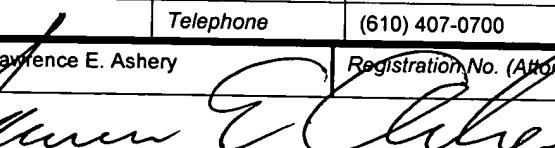
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation     Divisional     Continuation-in-part (CIP)  
*Prior application information*    *Examiner \_\_\_\_\_*    of prior application No: \_\_\_\_\_ / \_\_\_\_\_  
 Group / Art Unit: \_\_\_\_\_

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

Insert Customer Number **23122**    OR     Correspondence address below

Name	RatnerPrestia				
Address	P. O. Box 980				
City	Valley Forge	State	PA	Zip Code	19482
Country	USA	Telephone	(610) 407-0700	Fax	(610) 407-0701
Name (Print/Type)	Lawrence E. Ashery		Registration No. (Attorney/Agent)	34,515	
Signature			Date	November 12, 2003	

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing this form, call 1-800-PTO-9199 and select option 2.

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# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 770)

## Complete if Known

Application Number	To Be Assigned
Filing Date	Herewith
First Named Inventor	Shigeyoshi Umezawa
Examiner Name	
Art Unit	
Attorney Docket No.	MAT-8473US

## METHOD OF PAYMENT (check all that apply)

 Check  Credit Card  Money  Other  None Order
 Deposit Account:

Deposit Account Number

18-0350

Deposit Account Name

RatnerPrestia

The Commissioner is authorized to: (check all that apply)

Charge fee(s) indicated below  
 Credit any overpayments  
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 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1001	770	2001	385	Utility filing fee	770	Surcharge - late filing fee or oath	
1002	340	2002	170	Design filing fee		Surcharge - late provisional filing fee or cover sheet.	
1003	530	2003	265	Plant filing fee		Non-English specification	
1004	750	2004	385	Reissue filing fee		For filing a request for ex parte reexamination	
1005	160	2005	80	Provisional filing fee		Requesting publication of SIR prior to Examiner action	
SUBTOTAL (1)						Requesting publication of SIR after Examiner action	
						Extension for reply within first month	
						Extension for reply within second month	
						Extension for reply within third month	
						Extension for reply within fourth month	
						Extension for reply within fifth month	
						Notice of Appeal	
						Filing a brief in support of an appeal	
						Request for oral hearing	
						Petition to institute a public use proceeding	
						Petition to revive – unavoidable	
						Petition to revive – unintentional	
						Utility issue fee (or reissue)	
						Design issue fee	
						Plant issue fee	
						Petitions to the Commissioner	
						Processing fee under 37 CFR 1.17(q)	
						Submission of Information Disclosure Stmt	
						Recording each patent assignment per property (times number of properties)	
						Filing a submission after final rejection (37 CFR § 1.129(a))	
						For each additional invention to be examined (37 CFR § 1.129(b))	
						Request for Continued Examination (RCE)	
						Request for expedited examination of a design application	
Other fee (specify)							

\*Reduced by Basic Filing Fee Paid

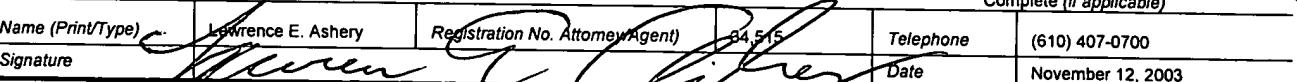
SUBTOTAL (3)

(\$ 0)

\*\*or number previously paid, if greater; For Reissues, see above

## SUBMITTED BY

## Complete if applicable

Name (Print/Type)	Lawrence E. Ashery	Registration No. Attorney/Agent	84-515	Telephone	(610) 407-0700
Signature				Date	November 12, 2003

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**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): Shigeyoshi Umezawa et al.

Docket No.

MAT-8473US

Serial No.

To Be Assigned

Filing Date

Herewith

Examiner

Group Art Unit

Invention: ILLUMINATION KNOB AND METHOD OF MANUFACTURING KNOB

I hereby certify that the following correspondence:

NEW U.S. NON-PROVISIONAL PATENT APPLICATION AND ENCLOSURES

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Is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to:

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on NOVEMBER 12, 2003

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